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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/727,418	
	Filing Date	December 4, 2003	
	First Named Inventor	NANGALIA, Rishi	
	Art Unit	3621	
	Examiner Name	Cangialosi, Salvatore A.	
Total Number of Pages in This Submission	8	Attorney Docket Number	G08.071

ENCLOSURES (Check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO 1449, copy of International Search Report, and Acknowledgement Postcard.
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Buckley, Maschoff & Talwalkar LLC		
Signature			
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Date	April 22, 2005	Reg. No.	34,860

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**
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Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: NANGALIA et al.

Application No.: 10/727,418

Filing Date: December 4, 2003

For: METHODS AND APPARATUS FOR
ROUTING SECURITIES ORDERS

)
) Group Art Unit: 2661
)
) Examiner: Cangialosi, Salvatore A.
)
) INFORMATION DISCLOSURE
) STATEMENT
)
) Attorney Docket No.: G08.071
)
) PTO Customer No. 28062
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Dated: April 22, 2005

By: Edith Martin
Edith Martin

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-

identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

The items listed herein were cited in a April 20, 2005 International Search Report received in a counterpart foreign application. A copy of the Search Report is enclosed. No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed not more than three months after the information contained herein was first cited in a counterpart foreign application.

Respectfully submitted,

April 22, 2005
Date



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